

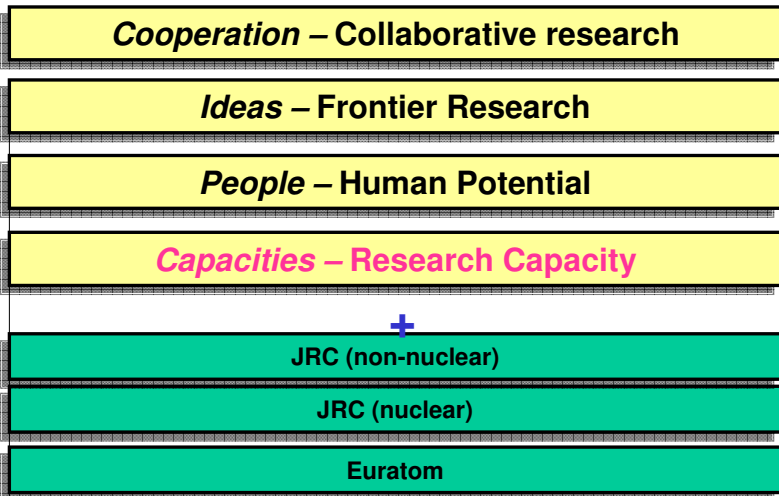
# Die regionale Dimension des 7. Forschungsrahmenprogrammes

- Neue Ausschreibungen 2009 -

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## FP7 2007 - 2013



## FP 7- specific programme « CAPACITIES »

1. Research Infrastructures	1715 M€
2. Research for the benefit of SMEs	1336 M€
<b>3. Regions of Knowledge</b>	<b>126 M€</b>
<b>4. Research Potential</b>	<b>340 M€</b>
5. Science in Society	330 M€
6. Coherent Development of Policies	70 M€
7. International Cooperation	180 M€



## Increased research capacity of European Regions

### Aim:

- to intensify role of RTD in economic development
- to invest in research

### Actions:

- to improve links between stakeholders
- to foster transnational cooperation
- to enhance mutual learning of regional actors
- to exchange experience and best practice
- to develop **joint action plans**





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## Two lines of action

... but NO funding of (« hands on ») research

### « Regions of Knowledge »

- for ALL European Regions
- to encourage and support the development of « regional research driven clusters »
- Pre-defined topics

### « Research Potential »

- for Convergence & Outermost regions
- to unlock and develop their research potential
- to help researchers to successfully participate in research activities at EU level
- All scientific topics covered by EC FP 7
- International cooperation (special calls)



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## FP7 and the Structural Funds: more synergies

- FP 7 has a new regional dimension, under “Capacities”
- ... while Structural Funds will reinforce R&D investment
  - R&D, innovation and entrepreneurship as the first **priority** in Convergence regions
  - Innovation and the knowledge economy as the first priority for the competitiveness and growth objective
  - **Earmarking**: at least 60% or 75% of funds for Lisbon priorities
- Complementary funding where possible
  - ... but no double funding



## ***I – Regions of knowledge Policy context***

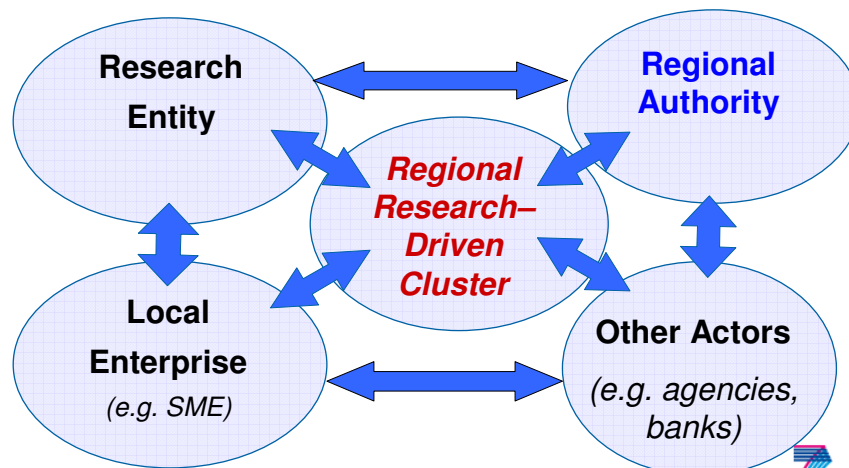
The "***Regions of Knowledge***" initiative responds to a need to **increase the overall capacity of regional players** to enhance science and technology for **economic development** of all European Regions

### **Expected Outcome**

- \* improve links between stakeholders and local business community
- \* foster transnational / cross-border cooperation
- \* enhance mutual learning of regional actors
- \* exchange experience and best practice



## ***What is a regional research-driven cluster?***



## I - Expected impact

- **Regional economic growth and competitiveness** through the definition of strategies integrating research
- **Response to the needs of regional business communities**
- **Mobilisation of local, national and community funds** (including SF) to implement defined regional action plans in support of regional economic development
- Increase in and more effective **investment in R&D**
- **Inclusion of more regions into the ERA**



## ***RoK: Main activities***

Two calls in 2007 and 2008... along two common **thematic priorities**, which were

- for 2007,
  - Bringing the benefits of research to SMEs
  - Research and rural economies (incl. Maritime regions)
- for 2008,
  - Maximising the benefits of research infrastructures for regional economic development
  - Regional contributions to the reduction of CO<sub>2</sub> emissions



## I - Main changes introduced for 2009

- **Strategic repositioning** of the targeted clusters
  - Focus on mature clusters, incl. cluster organisations
  - At least three RDCs involved from 3 countries
  - Focus on a few networks: bigger budget per project (1 to 3 M €)
- Alignment of **thematic priorities** with the Commission's ones, e.g Lead Markets Initiative
- **Mentoring** as a compulsory activity
- Two-step approach :
  - From analysis to JAP
  - Accompanying the implementation of the JAP
- **Internationalization** activities allowed



## I – 2009 Call for proposal

**One call, REGIONS-2009-1**, targeting the **transnational cooperation** of regional research driven clusters  
- for a total of 16,1 M €

### Participants

- Consortia of **at least 3 regional research-driven clusters** from 3 different Member States or Associated countries
  - Possible representation by one member - under conditions
  - Compulsory description of the full cluster
- **Coordinator** : either a regional authority or a fully fledged RDC (with legal entity)



## I - Thematic priorities

**Enhancing the sustainable use of natural resources and of the natural and man-made environment**, covering four themes:

- Water management,
- Forest management
- Soil and land management
- Waste management

... in support of the Lead Markets Initiative



## I - REGIONS-2009-1

### Transnational cooperation of RDCs

#### Activities

- **Analysis**, development and implementation of research agendas
- **Mentoring** of regions with a less developed research profile for capacity building (compulsory)
- Initiatives to improve **integration**: definition of **joint action plans (JAP)**, together with **business plans** – following SWOT analysis and definition of RTD needs
- Measures **towards the implementation of the JAP**
- **International cooperation** activities (optional)
- **Dissemination** activities

**Beware:** Neither the implementation of the JAP nor any research can be funded under Regions





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## I - Expected outputs

### Expected outputs

- Inventory of RTD offer and demand
- Analysis (incl. SWOT) from an economic and R&D perspective
- Analysis of complementarities with potential other partners (mentoring and international cooperation)
- Regional strategy for research-based economic development
- Joint Action Plan (JAP) with its financing plan  
... and with indicators for the foreseen measures



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## I - Funding scheme overview

**Funding scheme:** CSA –CA, coordinating action

**Duration:** up to 36 months

– the JAP being finalized during the first half of the project

**Community contribution:** approx. 1 to 3 M €

**Financing rate:** up to 100 % of eligible costs





## II - RESEARCH POTENTIAL

Two objectives  
for **CONVERGENCE & OUTERMOST** regions only :

- to stimulate the *realisation of the full research potential* by unlocking and developing the research potential in the **EU's convergence regions** and **outermost regions**
- to *strengthen the capacities* of their researchers to success fully participate in research activities at EU level



## FP7 - RESEARCH POTENTIAL

2 Calls in 2009

- **FP7-REGPOT-2009-1**  
Unlocking and developing the *research potential* in the EU's convergence regions and outermost regions
- **FP7-REGPOT-2009-2**  
International Cooperation with the **MPCs**  
(*Mediterranean Partner Countries*)



## FP7 - RESEARCH POTENTIAL Call FP7-REGPOT-2009-1

### Participants

- The project must be proposed by a single public or private research entity of significant size (e.g. University Depart., Faculties or specialized research institutes) established in the convergence & outermost regions of EU/AC\*
- 3 or more experienced and knowledgeable partner organisations should participate in the proposal.

*(\*) Associated Countries with equiv. to 'Convergence' regions : Albania, Croatia, FYROM, Montenegro, Serbia & Turkey; all at countrywide level*



## FP7 - RESEARCH POTENTIAL Call FP7-REGPOT-2009-1

Unlocking and developing the Research Potential of research entities in the EU's convergence regions and outermost regions

This activity will support the implementation of an **Action Plan:**

- ✓ defined by the **highest quality** or promising research entities established in the **eligible** regions
- ✓ derived from a **SWOT** analysis of the applicant's research entity
- ✓ in the **thematic priorities** domains of the **EC FP7**



## FP7 - RESEARCH POTENTIAL Call FP7-REGPOT-2009-1

The **Action plan** must include a set of *5 coherent* measures:

- ✓ **Exchange of know-how** and **experience** with at least 3 or more experienced and knowledgeable partner organisations elsewhere in the EU/ACs
- ✓ **Recruitment** by the selected research entities of incoming experienced researchers
- ✓ Acquisition, development, maintenance or upgrading of **research equipment**
- ✓ Organisation of **workshops** and **conferences** to facilitate knowledge transfer at national and international level
- ✓ **Dissemination** and **promotional** activities

**Optionally** the selected research entities can apply for an international independent expert evaluation of their overall research quality and capability (including management and infrastructure) – 6 additional months



## FP7 - RESEARCH POTENTIAL Call FP7-REGPOT-2009-1

*Synergies between Action Plan and related actions supported through the cohesion policy*

Applicants should explain the **synergies** between measures set out in the **Action Plan** and related actions supported (or planned) through the **Cohesion Policy** programmes -specifically through the European Regional Development Fund - whether in terms of human resources, the acquisition, development or upgrading of research equipment or activities for knowledge transfer




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## RESEARCH POTENTIAL


### FP7-REGPOT-2009-2 – International Cooperation

**OBJECTIVES:**

- Promote closer S&T co-operation opportunities between Europe and the Mediterranean Partner Countries (MPC)<sup>1</sup>
- Develop partnerships through twinning actions with centres of excellence in Europe
- Improve participation of MPC research entities in the RTD Framework Programme
- Improve scientific relationships and networking
- Development of S&T Human Potential
- Favour brain gain environments through trans-national two way exchanges of research staff
- Upgrade S&T research capacities of highest quality and/or promising research entities in MPC
- Adjust MPC research entities' policy, boost their research potential and develop links between their scientific communities and those of Europe

<sup>1</sup> Algeria, Egypt, Jordan, Lebanon, Morocco, Tunisia, Syrian Arab Republic, Palestinian-Administered Areas and Libya




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## FP7 - RESEARCH POTENTIAL

### FP7-REGPOT-2009-2 – International Cooperation

**Funded activities: Action plan based on coherent set of measures for:**

- Exchange of know-how and experience through trans-national two-way secondments of research staff, Training in Member States or Associated Countries for MPC researchers, short stays in laboratories abroad
- Recruitment of incoming experienced researchers, for teaching, training and/or joint research activities; and hiring of new young researchers to reinforce the human potential of the MPC research entities
- Acquisition, development, maintenance, upgrading and/or renewal of S&T research equipment for the selected MPC research entities
- Organisation of workshops and conferences; participation at international conferences or short term training events, for knowledge sharing network building
- Dissemination and promotional activities to increase visibility of the selected research entities

**NOTE: Beware that REGPOT is a capacity building programme and does not finance common research projects**





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## RESEARCH POTENTIAL FP7-REGPOT-2009-2 – International Cooperation

### Call data:

Date of publication: 24 October 2008

Deadline: 13 February 2009, at 17.00 h Brussels local time

Topics: Any research topic covered by the EC FP7

Indicative Budget: EUR 8 million

Project size and duration: up to 36 months and 1 million € community contribution

Particular requirements for participation: At least one research entity from a Mediterranean Partner Country, **one from a convergence or outermost region**  
1) in a Member State or Associated Country and one from another Member State or Associated Country

Funding scheme: CSA-SA (Supporting Action)



More info: <http://cordis.europa.eu/fp7/dc/index.cfm>

1) Less than 75 % of EU25 average GDP or outermost territories according to article 299§2 of the EC treaty



### Conclusions:

- Both initiatives well perceived by target clients
- Wide geographical and technological coverage
- Bridging excellence (RTD) and cohesion (REGIO) and competitiveness (ENTR) approaches
- Promising projects identified for funding
- High political interest
- But: oversubscription and increased communication needs

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**Thank you for your attention !**