Call title: ERA-NET Call 2011

• Call identifier: **FP7-ERANET-2011-RTD**

• Date of publication: 20 July 2010.

• Deadline: 22 February 2011, at 17.00.00, Brussels local time¹.

Indicative budgets and Topics²:

A total of EUR 44.61 million is foreseen for this call, divided as follows:

- ➤ A sum of up to EUR 44.0 million³ will be <u>allocated by individual Themes</u> in the Cooperation Work Programme to the ERA-NET and ERA-NET Plus topics detailed in *Table 1* (Thematic part of the Call).
- A further EUR 0.6 million⁴ for horizontal support actions, <u>funded pro-rata by all the Themes</u> in the Cooperation Work Programme, as detailed in *Table 2* (Horizontal part of the Call).

Table 1 – Overview of Thematic Activities and Topics in FP7-ERANET-2011 –RTD⁵

THEME/Activity	Topic identifier	TITLE	Indicati ve budget (EUR million)		
1. HEALTH					
1.2.2 Research on the brain and related diseases, human development and ageing	HEALTH.2011.2.2.1-5	ERA-Net on disease-related neurosciences	2.0^{6}		
1.2.4 Translational research in other major diseases	HEALTH.2011.2.4.3-5	ERA-NET on diabetes prevention and treatment	2.0^{6}		
2. FOOD, AGRICUL	2. FOOD, AGRICULTURE AND FISHERIES, AND BIOTECHNOLOGY				
2.1: Sustainable production and management of biological resources from land, forest and aquatic environment	KBBE.2011.1.1-05	Deepened and enlarged European cooperation in the area of Molecular Plant Sciences - ERA-NET	2.0^{6}		

¹ The Director-General responsible for the call may delay this deadline by up to two months.

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² Under the condition that the draft budget for 2011 is adopted without modifications by the budget authority.

³ Total indicative budget provided by the concerned Themes for ERA-NET actions. Following the evaluation of proposals, the final total budget of the call, as well the individual sub-budgets independently allocated by each Theme, may vary by up to 10% of the values initially foreseen.

⁴ Total indicative budget for horizontal support actions. Following the evaluation of proposals, the final budget of the call may vary by up to 10% the total value of the indicated budget.

⁵ Coordination and Support Actions (Coordinating Action)

⁶ Up to one project can be funded

2.1: Sustainable production and management of biological resources from land, forest and aquatic environment	KBBE.2011.1.2-08	Forest Research in the Mediterranean Region – ERA-NET - Mandatory ICPC (Mediterranean partner countries)	2.0^{6}
2.1: Sustainable production and management of biological resources from land, forest and aquatic environment	KBBE.2011.1.3-05	Animal health and welfare – ERA-NET	2.0^{6}
2.2 Fork to farm: Food (including seafood), health and well being	KBBE.2011.2.6-02	Sustainable food production and consumption – ERA- NET	2.0^{6}
2.3 Life sciences, biotechnology and biochemistry for sustainable non-food products and processes	KBBE.2011.3.3-01	Deepened and enlarged European cooperation in the area of Industrial Biotechnology - ERA-NET	2.0^{6}
2.3 Life sciences, biotechnology and biochemistry for sustainable non-food products and processes	KBBE.2011.3.6-06	Synthetic Biology ERA-NET	2.0 ⁶
4. NMP	L	-	
4.2 Materials	NMP.2011.2.3-2	ERA-NET on research on materials science and engineering, including international cooperation	3.0
4.4 Integration of technologies for industrial applications	NMP.2011.4.0-6	ERA-NET on the Industrial Handling of Raw Materials for European Industries	1.5
4.4 Integration of technologies for industrial applications	NMP.2011.4.0-7	ERA-NET on Industrial Safety	1.5
5. ENERGY			
5.10 Horizontal Programme Actions	ENERGY.2011.10.2-2	Supporting the coordination of national research activities of Member States and Associated States in the field of GEOTHERMAL energy (ERA-NET)	2.0

5.10 Horizontal Programme Actions	ENERGY.2011.10.2-3	Supporting the coordination of national research activities of Member States and Associated States in the field of OCEAN energy (ERANET)	2.0		
7. TRANSPORT (incl	7. TRANSPORT (including Aeronautics)				
7.2 Sustainable Surface Transport	GC.SST.2011.7-8	ERA-NET Plus 'Electromobility'	10.0		
8. SOCIOECONOMIC SCIENCES AND HUMANITIES					
8.3.2 Societal Trends and Lifestyles	SSH.2011.3.2-2	Drug demand and supply reduction (ERA-NET)	2.0		
Area 8.3.3 Cultural Interactions in an International Perspective	SSH.2011.3.3-1	Cultural Encounters (ERA- NET Plus)	6.0		

Table 2 – Horizontal Support Actions in FP7-ERANET-2011 –RTD⁷

THEME/Activity	Topic identifier	TITLE	Indicat ive budget (EUR million	
HORIZONTAL				
A4.2.2.3 Support for Programme coordination and cooperation in the context of the ERA	ERA-FC.2011.1	Refine and promote the adoption of European-level guidelines in the domain of the "Framework Conditions" for Joint Programming	0.6	

General Eligibility Conditions

The general eligibility criteria are set out in Annex 2 of this work programme, and in the guide for applicants. Please note that the completeness criterion also includes that part B of the proposal shall be readable, accessible and printable. Only information provided in part A of the proposal will be used to determine whether the proposal is eligible with respect to budget thresholds and/or minimum number of eligible participants.

Additional eligibility Criteria for ERA-NET proposals

The aim of ERA-NET actions is to network research programmes carried out at national or regional level, with a view to their mutual opening and the development and implementation of joint activities. Such programmes shall have <u>all of the following characteristics</u>:

⁷ Coordination and Support Actions (Supporting Action)

- ➤ Be strategically planned (i.e. be composed of a number of research projects focused on a defined subject area or set of problems, that are scheduled to run for a set period of time and that have a co-ordinated management).
- ➤ Be carried out at national or regional level.
- ➤ Be either financed or managed directly by national or regional public bodies, or by structures (e.g. agencies) closely related to, or mandated by, public authorities.

The minimum number of participants in an ERA-NET consortium is *3 independent legal* entities which finance or manage publicly funded national or regional programmes. <u>Each of</u> these must be established in a different Member State or Associated Country.

For topic KBBE.2011.1.2-08, the minimum number of participants is 3 independent legal entities which finance or manage publicly funded national or regional programmes from 3 different Member States or Associated Countries and 3 independent legal entities which finance or manage publicly funded national or regional programmes from 3 different Mediterranean Partner Countries.

Partners for ERA-NET actions eligible to satisfy the above condition are:

- Programme owners: typically national ministries/regional authorities responsible for defining, financing or managing research programmes carried out at national or regional level.
- Programme 'managers' (such as research councils or funding agencies) or other national or regional organisations that *implement* research programmes under the supervision of the programme owners.
- Programme owners (typically national ministries/regional authorities) which do not have a running or fully fledged research programme at the moment of submitting an ERA-NET proposal, but which are planning, and have committed, to set up such a programme, are also eligible if their participation is well justified and adds value to the overall programme coordination. As such, countries or regions which have less diverse research programmes (in particular new Member States and candidate Associated Countries) will find their involvement in the ERA-NET scheme greatly facilitated.

Please note that research organisations or universities which are <u>not</u> programme owners or managers are <u>not</u> eligible partners for ERA-NET actions.

Additional information

In addition to the minimum number of independent legal entities mentioned above, private legal entities (e.g. charities) which manage research programmes may enter the consortium if their participation is well justified and adds value to the overall programme coordination.

Sole participants (as referred to in Article 10 of the Rules for Participation) may be eligible if the above-mentioned specific criteria for eligible ERA-NET partners are respected. A sole participant shall explicitly indicate which of its 'members' forming a sole legal entity is either a programme owner or programme manager in the proposed action and indicate for these members, the respective national/regional programmes which are at the disposal of the proposed ERA-NET action.

Additional eligibility Criteria for ERA-NET Plus proposals

ERA-NET Plus proposals must meet the following eligibility criteria:

- A single joint call should be planned with a clear financial commitment from the participating national or regional programmes⁸.
- Eligible participants are the same as for ERA-NET actions with the exception that programme owners, which do not have yet a running or fully fledged research programme at the moment of submitting a proposal, are not eligible for ERA-NET Plus actions. Furthermore, a consortium must include programme owners or programme managers from at least 5 different Member or Associated countries.
- The same additional participants as for ERA-NET actions are eligible, beyond the number of 5 minimum programme owners or managers.
- The total planned budget of the joint call shall have a minimum financial volume of EUR 5 million.
- A common peer review mechanism for evaluating the proposals submitted to the joint call shall be foreseen.
- Each project financed out of the joint call shall be trans-national (i.e. minimum of two partners from different countries).
- A fixed common set of general evaluation/selection criteria (excellence, European added value, etc.) should be part of the common evaluation criteria of the joint call organised by the national programmes.

Evaluation Criteria for ERA-NET and ERA-NET Plus proposals

For the evaluation of ERA-NET and ERA-NET Plus proposals, the general criteria and thresholds applicable to Coordination and Support Actions given in Annex 2, are complemented as follows:

1. Scientific and/or technological excellence - Quality of coordination (Threshold 3/5)

Level of ambition in the collaboration and commitment of the participants in the proposed ERA-NET / ERA-NET Plus action to coordinate their national/regional research programmes.

- 2. Quality and efficiency of the implementation (Threshold 3/5) no additional criteria
- 3. Potential impact (Threshold 3/5)

Contribution to establishing and strengthening a durable cooperation between the partners and their national/regional research programmes.

A reserve list may be produced of proposals that pass the evaluation, but fall below the available budget.

• Proposal format:

- Applicants must ensure that proposals conform to the page limits and layout given in the Guide for Applicants, and in the proposal part B template available through the EPSS.

⁸ Proposals must demonstrate that national research programmes are committed to support the call. Selected proposals will have to provide evidence that a commitment has been made by the relevant research programmes.

The Commission will instruct the experts to disregard any pages exceeding these limits. The minimum font size allowed is 11 points. The page size is A4, and all margins (top, bottom, left, right) should be at least 15 mm (not including any footers or headers).

- Evaluation procedure:
 - The evaluation will follow a single stage procedure.
 - Proposals will not be evaluated anonymously.
 - Proposals may be evaluated remotely.
- Indicative timetable:
 - Evaluation in March 2011.
 - Opening of negotiations in May 2011.
 - Selections from October 2011.
 - Grant agreements from November 2011.
- Consortia agreements:
 - Consortia Agreements are recommended.
- The forms of grant and maximum reimbursement rates which will be offered are specified in Annex 3 to the Cooperation work programme. This call provides the possibility to use flat rates to cover subsistence costs incurred by beneficiaries during travel carried out within grants for indirect actions. For further information, please refer to the relevant Guide for Applicants. The applicable flat rates are available at: ftp://ftp.cordis.europa.eu/pub/fp7/docs/flat-rates-subsistence_en.pdf.