

Call title: ENERGY - EU Japan Call

- **Call identifier:** FP7-ENERGY-2011-JAPAN
- **Date of publication:** 20 July 2010
- **Deadline:** 25th November 2010 at 17.00.00 (Brussels local time)¹ and, for the coordinated project funded by the Japanese authorities, on 25th November 2010 at 17.00.00 (Japanese time), according to the respective requirements of the European Commission and the (METI)/(NEDO)
- **Indicative budget**²: EUR 5 million from the 2011 budget³.
All budgetary figures given in this work programme are indicative. The final budget awarded to this call, following the evaluation of projects, may vary by up to 10% of the total value of the call.
An equivalent budget for the call is expected from the Japanese METI/NEDO.
- **Topic called:**

Activity/ Area	Topics called	Funding Schemes
ACTIVITY ENERGY.2: RENEWABLE ELECTRICITY GENERATION		
AREA ENERGY.2.1: PHOTOVOLTAICS	ENERGY.2011.2.1-1: Ultra-high efficiency concentration photovoltaics (CPV) cells, modules and systems / EU-Japan Coordinated Call	Collaborative Project

The coordinated call EU-Japan foresees to lead to the funding of coordinated projects, each consisting of one project financed by the European Union and another by the METI/NEDO. Two calls are published - one by the European Commission according to European rules and the other by the Japanese Authorities under the Japanese rules, the relevant procedures being harmonised and synchronised to the best extent possible.

Eligibility conditions

- The eligibility criteria for this call are set out in Annex 2 to the work programme. Please note that the completeness criterion also includes that part B of the proposal shall be readable, accessible and printable.

¹ The Director-General responsible may delay this deadline by up to two months.

² A reserve list will be constituted if there is a sufficient number of good quality proposals. It will be used if extra budget becomes available.

³ Under the condition that the draft budget for 2011 is adopted without modification by the budgetary authority.

- The minimum number of participating legal entities required for this call is summarised in the table below⁴:

Funding scheme	Minimum conditions
Collaborative Project	At least 3 independent legal entities, each of which is established in a MS or AC, and no two of which are established in the same MS or AC.

Additional eligibility criterion

A proposal submitted to the European Commission (EC) will be eligible only if co-ordinated with a Japanese proposal submitted in parallel to the Japanese New Energy and Industrial Technology Development Organization (NEDO). This coordination should be balanced in terms of the R&D efforts deployed by the EU and Japan respectively. Therefore, proposals on either side will contain in their description of work (Part B) the following three parts:

- Part One, describing the scope and content of the intended EU-Japan cooperation, including the common objectives and tasks, work sharing and schedule, foreseen exchange of researchers and related budget. This section will clearly indicate the interdependencies between the R&D activities carried out by the European and Japanese participants respectively, in terms of deliverables, milestones, etc.
- Part Two, describing all activities that will be carried out by the participants in the EU proposal, including deliverables, milestones and budget.
- Part Three, describing all activities that will be carried out by the participants in the Japanese proposal, including deliverables, milestones and budget.

In addition, the submitted proposals will contain a draft coordination agreement between the two consortia.

- Only information provided in part A of the proposal will be used to determine whether the proposal is eligible with respect to budget thresholds and/or minimum number of eligible participants.

Evaluation procedure

- The evaluation criteria and scoring scheme are set out in annex 2 of the work programme

Proposals are evaluated on the basis of the following three criteria: **1. S/T quality; 2. Implementation; 3. Impact.** For each criterion marks from 0 to 5 will be given, with the possibility of 0.5 point scores. Successful proposals must pass the minimum thresholds as follows:

	Minimum threshold
S/T quality	3/5
Implementation	3/5
Impact	3/5

⁴ MS = Member States of the EU; AC = Associated country. Where the minimum conditions for an indirect action are satisfied by a number of legal entities, which together form one legal entity, the latter may be the sole participant, provided that it is established in a Member State or Associated country.

Overall threshold required	10/15
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- Proposal page limits: Applicants must ensure that proposals conform to the page limits and layout given in the Guide for Applicants, and in the proposal part B template available through the EPSS.

The Commission will instruct the experts to disregard any pages exceeding these limits.

The minimum font size allowed is 11 points. The page size is A4, and all margins (top, bottom, left, right) should be at least 15 mm (not including any footers or headers).

- A single-stage submission and evaluation procedure will be used.

- Proposals will not be evaluated anonymously.

- The procedure for prioritising proposals is described below:

At the Panel stage, proposals with equal overall scores will be prioritised according to their scores for the S/T Quality criterion. If they are still tied, they will be prioritised according to their scores for the Impact criterion.

The proposals will be evaluated by a joint panel of European and Japanese experts.

Additional information related to the evaluation of the criterion 'Implementation' can be found in the topic description under the heading 'Additional information'.

- **Indicative evaluation and contractual timetable:**

Evaluations are expected to be carried out in December 2010 (remotely - individual) and in January 2011 (Consensus and panel phase of the evaluation will all be carried out within one week in Brussels or Tokyo). It is expected that the negotiations for the short listed proposals will open by April 2011. Negotiations will be carried out in parallel by the European Commission and METI/NEDO in order to have a simultaneous start of the respective grant agreements.

- **Consortium agreements:**

Participants in the EU Collaborative Project are required to conclude a consortium agreement prior to grant agreement.

- **Coordination agreements:**

Participants in the EU Collaborative Project are required to conclude a coordination agreement with the participants in the coordinated project funded by the METI/NEDO.

- **Other points**

Forms of grant and maximum reimbursement rates for projects funded through the Cooperation work programme are given in Annex 3 of this work programme.

In accordance with Annex 3 of this work programme, this call provides for the possibility to use flat rates to cover subsistence costs incurred by beneficiaries during travel carried out within grants for indirect actions. For further information, see the relevant Guides for Applicants for this call. The applicable flat rates are available at the following website: http://cordis.europa.eu/fp7/find-doc_en.html under 'Guidance documents/Flat rates for daily allowances'.

- **Dissemination:** Grant agreements of projects financed under this call for proposals will include the special clause 39 on the "Open Access Pilot in FP7". Under this clause, beneficiaries are required to make their best efforts to ensure free access to peer-reviewed articles resulting from projects via an institutional or subject-based repository.