

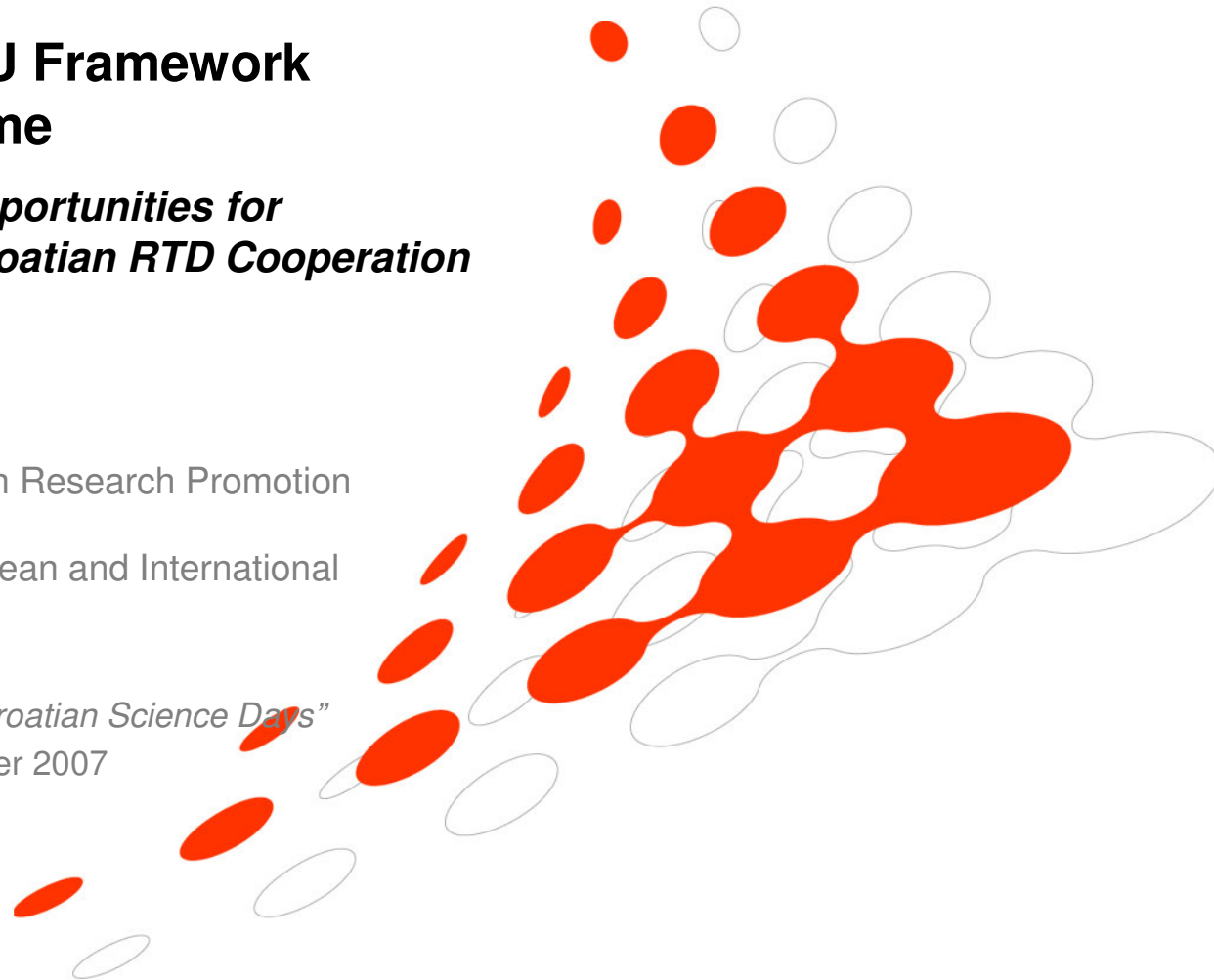
The 7th EU Framework Programme

***Funding Opportunities for
Austrian-Croatian RTD Cooperation***

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Agency
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Programmes

“3rd Austrian-Croatian Science Days”
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International S&T cooperation in FP7

The international dimension is no longer limited to a specific scientific and technological (S&T) cooperation programme but is now inherent in all European Community research activities.

This international policy has three objectives:

- **to support European competitiveness through strategic partnerships with third countries** in selected fields of science **and engaging the best third country scientists** to work in and with Europe,
- **to facilitate access to research environments** (i.e. universities, research institutions and firms) **outside Europe** and promoting synergies on a global scale,
- **to address specific problems third countries** face or that have a global character (on the basis of **mutual interest** and **mutual benefit**).



International S&T cooperation in FP7

- Participation is open to international organisations and legal entities established in *third countries* after the minimum conditions laid down in the 'Rules for participation' have been met, as well as any conditions specified in the specific programmes or relevant work programmes.
- Organisations from an **I**nternational **C**ooperation **P**artner **C**ountry (**ICPC**) *can also receive funding*.
- An ICPC is a third country classified by the European Commission as a low-income, lower-middle-income or upper-middle-income country and which is identified as such in the work programmes.

Structure of FP7

***COOPERATION* – Collaborative Research**

***IDEAS* – Frontier Research**

***PEOPLE* – Human Potential**

***CAPACITIES* – Research Capacity**



7th Framework Programme – most importantly

- Duration: 2007 - 2013
- Budget: 50,5 billion EUR
- Annual budget: 4,5 billion to > 7 billion EUR

New in FP7:

- „Excellence Strategy“
- Frontier Research (1 billion EUR p.a.) and European Research Council
- EIT – European Institute of Technology
- Simplification
- New dimension of cooperation with industry: technology platforms and joint technology initiatives.
- Additional Topics: Security Research



FP7 Funding Schemes: Project Types

- Collaborative Research: (FP6 IPs, STREPs)
- Networks of Excellence (FP6 NoE)
- Coordination actions (FP6 CA)
- Support actions (FP6 SSA)
- Instruments relating to the European Research Council
- Instruments relating to Mobility
- SME specific measures (FP6 CRAFT)



FP7 Funding Schemes: Collaborative Projects

Support for research projects carried out by consortia with participants from different countries, aiming at developing new knowledge, new technology, products, demonstration activities or common resources for research. The size, scope and internal organisation of projects can vary from field to field and from topic to topic. Projects can range from small or medium-scale focused research actions to large-scale integrating projects for achieving a defined objective. Projects should also target special groups such as SMEs and other smaller actors.



FP7 Funding Schemes: Networks of Excellence

Support for a Joint Programme of Activities implemented by a number of research organisations integrating their activities in a given field, carried out by research teams in the framework of longer term cooperation. The implementation of this Joint Programme of Activities will require a formal commitment of the organisations integrating part of their resources and their activities.



FP7 Funding Schemes: Coordination and Support Actions

Support for activities aimed at coordinating or supporting research activities and policies (networking, exchanges, trans-national access to research infrastructures, studies, conferences, etc.). These actions may also be implemented by means other than calls for proposals.



FP7 Funding Schemes: Individual Projects: Support for "Frontier" Research

Support for projects carried out by individual national or transnational research teams. This scheme will be used to support investigator-driven "frontier" research projects funded in the framework of the European Research Council.

- ERC Starting Grant
- ERC Advanced Grant



FP7 Funding Schemes: Support for Training and Career Development of Researchers

Support for training and career development of researchers, mainly to be used for the implementation of Marie Curie actions.

- Initial training
- Industry-Academia Partnerships and Pathways - IAPP
- Life-long training
- International dimension
- Specific actions



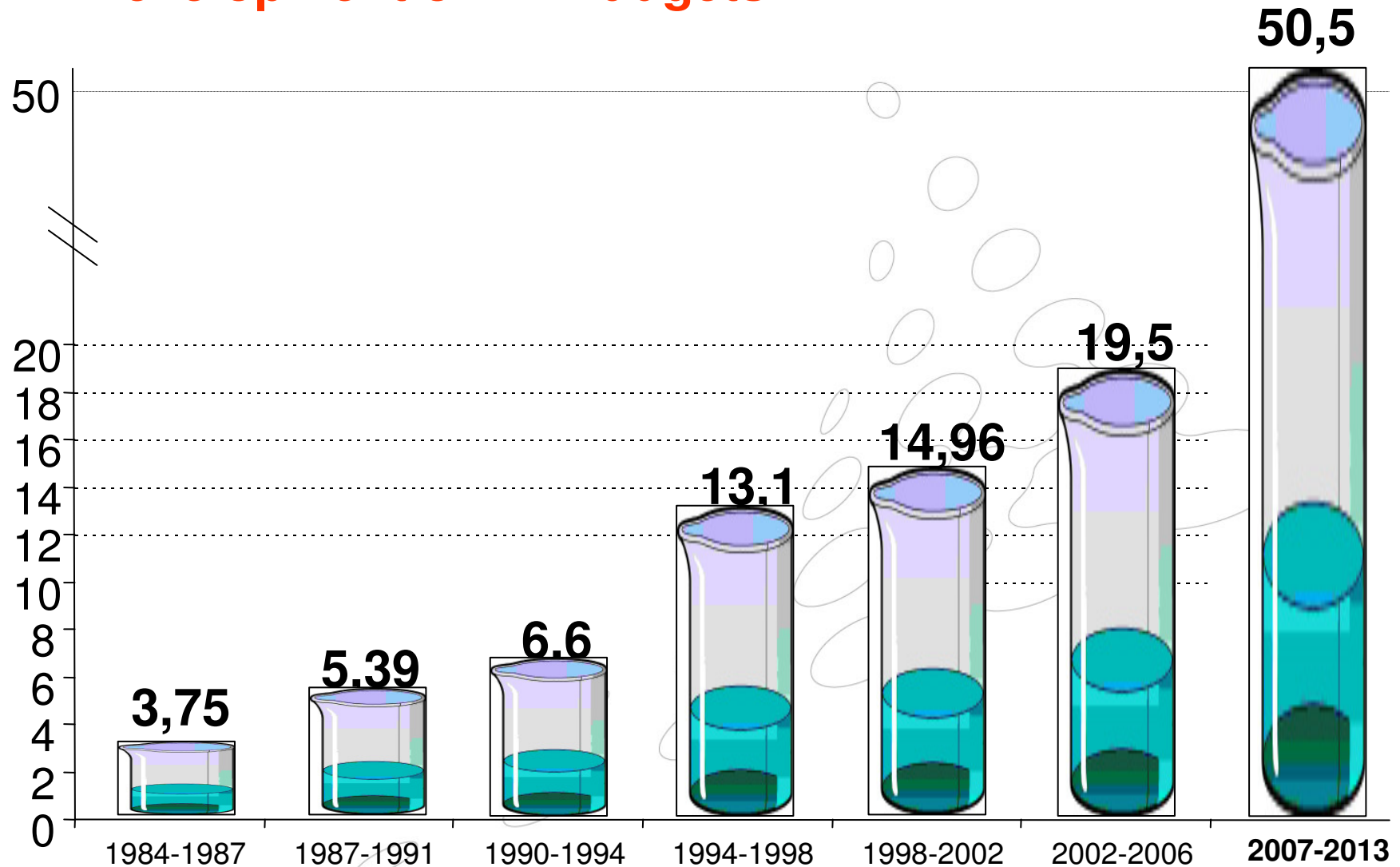
FP7 Funding Schemes: Research for the benefit of specific groups (in particular SMEs)

Support for research projects where the bulk of the research and technological development is carried out by universities, research centres or other legal entities, for the benefit of specific groups, in particular SMEs or associations of SMEs. Efforts will be made to mobilise additional financing from the European Investment Bank (EIB) and other financial organisations.



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Development of FP Budgets





Budget breakdown of FP7

COOPERATION	32.413	Mio. EUR
Health	6.100	
Biotech, Food, Agriculture	1.935	
Information Society	9.050	
Nanomaterials Production	3.475	
Energy	2.350	
Environment	1.890	
Transport	4.160	
Socio-economic Sciences/Humanities	623	
Security	1.400	
Space	1.430	
IDEAS	7.510	Mio. EUR
PEOPLE	4.750	Mio. EUR
CAPACITIES	4.097	Mio. EUR

Total: 50.521 Mio. EUR

(incl. Joint Research Centre 1.751 Mio. €)



FP7 Specific Programme „COOPERATION“

More than 50% of budget into thematic priorities

10 themes:

- Health
- Food, Agriculture and Biotechnology
- Information and Communication Technologies
- Nanosciences, Nanotechnologies, Materials and New Production Technologies u.a.
- Energy
- Environment
- Transport (incl. Aeronautics)
- Socio-economic Sciences and the Humanities
- Security
- Space



International S&T cooperation in FP7 *Specific Programme COOPERATION*

Theme-oriented international cooperation actions are carried out.

All ten research themes have an important international dimension, with international collaborative research being supported in two ways:

- Thematic areas open to all third countries including dedicated actions and coordinated calls with third countries
- **NEW: Specific International Cooperation Actions (SICAs)** geared towards the research and development needs of third countries
- **Special minimum consortium requirement:**
4 participants independent of each other
 - ⇒ **2 in the EU** or Associated Countries (AC) and
 - ⇒ **2 in the target region*** of International Cooperation Partner Countries (ICPCs)

* e.g. Russia regarded as one region (2 participants in 2 different oblasts)



International S&T cooperation in FP7 ***Specific Programme COOPERATION***

1. Associated and Associated Candidate Countries

(same conditions as for Member States)

2. International Cooperation Partner Countries (ICPC)

are defined as the countries that are classified as low or middle-income third countries

- Countries neighbouring the EU
(Western Balkans Countries, Mediterranean Partner Countries, Eastern European and Central Asian Countries)
- Developing countries (Africa-Caribbean-Pacific, Asia, Latin America)
- Emerging economies (e.g. Brazil, China, India, Mexico, Russia, South Africa)

3. Industrialised countries

(e.g. USA, Canada, Japan, Korea, Australia, New Zealand ...)

International S&T cooperation in FP7 *FP7 Status of Western Balkan Countries*

Source: http://ec.europa.eu/research/iscp/pdf/icpc_countries_en.pdf

- Albania⁴
- Bosnia-Herzegovina⁴
- Croatia⁴
- Former Yugoslav Republic of Macedonia (FYROM)⁴
- Kosovo⁵
- Montenegro⁴
- Serbia⁴

⁴: until the country becomes associated to FP7

⁵: as defined by UNSC resolution 1244 of 10 June 1999.



International S&T cooperation in FP7 *FP7 Status of Western Balkan Countries*

Source: http://cordis.europa.eu/fp7/partner-countries_en.html

- Albania
- Bosnia-Herzegovina
- Former Yugoslav Republic of Macedonia (FYROM)^{***}
- Montenegro
- Serbia³

^{***} : On 16/12/2005 became a Candidate Country. FYROM is not an associated country to FP7 and thus remains a target country for International Cooperation Specific Actions.

³: Including Kosovo as defined by UNSC resolution 1244 of 10 June 1999



International S&T cooperation in FP7 *FP7 Third Country Agreements**

- The Science and Technology Agreement associating Switzerland to FP7 (EC and Euratom) was signed on 25 June, 2007 and that associating Israel (EC) on 16 July, 2007.
- With respect to the participation of Norway, Iceland and Liechtenstein, Article 1 of Protocol 31 of the EEA agreement was amended on 15 June 2007 by a decision of the EEA Joint Committee extending the association of these countries to FP7.
- **The Memoranda of Understanding (MoU) associating respectively Turkey, Croatia, Macedonia and Serbia to FP7 were signed on 1 June 2007 (Turkey) and 13 June 2007 (Croatia, Macedonia and Serbia).**
- The provisions of the above association instruments are applicable from 1 January 2007.
- **Thus legal entities established in** Switzerland, Israel, Norway, Iceland, Liechtenstein, Turkey, **Croatia, Macedonia and Serbia**, respectively, **are able to receive Community contributions for contracts/grant agreements** signed after 1 January 2007.

* ftp://ftp.cordis.europa.eu/pub/fp7/docs/third_country_agreements_en.pdf



International S&T cooperation in FP7 „with ICPCs“ *Specific Programme COOPERATION*

- Third country participants on the list of (targeted) **International Cooperation Partner Countries (ICPCs)** are funded by the European Commission
- Normally they are funded on exactly the same basis (percentages of eligible costs) as participants from an EU Member State – nationality plays no role in the calculation of payments
- Alternatively - and unlike Member State participants - they may opt for a lump-sum payment

FP7 Specific Programme COOPERATION *Rules for Participation*

1. "Opening" of all activities"

The minimum number of participants shall be 3 independent legal entities established in 3 different EU Member States or Associated Countries

Third Countries as ADDITIONAL partners

2. Specific international cooperation actions (special rule)

The minimum number of participants shall be 4 independent legal entities of which:

- **at least 2** are established in two different EU Member States or Associated Countries

and

- **at least 2** are established in two different International Cooperation Partner Countries

Third Countries as COMPULSORY partners



International S&T cooperation in FP7 „with non-ICPCs“ *Specific Programme COOPERATION*

Organisations from third countries (i.e. **USA**) which are not on the ICPC list (i.e. the high-income industrialized countries) **can in exceptional circumstances be funded:**

- **if essential for carrying out the action**
- **if provided for in the Specific Programme decision or in the respective work programme**
- **if provision for funding is provided for in a bilateral S&T agreement between the European Union and that country**



Budget breakdown FP7 CAPACITIES

Research Infrastructures	1.715	Mio. EUR
Research for the benefit of SMEs	1.336	Mio. EUR
Regions of Knowledge	126	Mio. EUR
Research Potential	340	Mio. EUR
Science in Society	330	Mio. EUR
Coherent Development of Research Policies	70	Mio. EUR
Activities of International Co-operation	180	Mio. EUR

Total: 4.097 Mio. EUR



International S&T cooperation in FP7 Specific Programme CAPACITIES

The International cooperation activity of the Capacities Programme is fully dedicated to international cooperation.

It is designed to support and stimulate the participation of third countries in FP7.

The aims are:

- to foster international cooperation through support dialogues and information exchange activities with third countries and regions on the ICPC list
- to enable the EU, third countries and regions to discuss current and future research priorities, to facilitate debate between the different stakeholders



International S&T cooperation in FP7 Specific Programme CAPACITIES

The supporting activities have three major objectives:

- to strengthen bi-regional (INCO-NET) and bilateral dialogues in scientific cooperation and assist in joint identification of topics for collaboration under FP7 thematic programmes
- to network different stakeholders in order to strengthen research capacity. This activity will target countries which have an S&T cooperation agreement with the EC or are in the process of negotiating one (BILAT)
- to facilitate the development and implementation of a coherent European-level approach towards international S&T cooperation (ERA-NET)



International S&T cooperation in FP7 „with ICPCs“ *Specific Programme CAPACITIES*

- Participation is open to international organisations and legal entities established in third countries
 - ⇒ after the minimum conditions laid down in the ‘Rules for participation’ have been met,
 - ⇒ as well as any conditions specified in the specific programmes or relevant work programmes.
- Third country participants on the list of (targeted) **International Cooperation Partner Countries (ICPCs) can also receive funding** from the European Commission
- Normally they are funded on exactly the same basis (percentages of eligible costs) as participants from an EU Member State – nationality plays no role in the calculation of payments
- Alternatively - and unlike Member State participants - they may opt for a lump-sum payment



International S&T cooperation in FP7 „with non-ICPCs“ Specific Programme CAPACITIES

Organisations from third countries (i.e. **USA**) which are not on the ICPC list (i.e. the high-income industrialized countries) **can in exceptional circumstances be funded:**

- **if essential for carrying out the action**
- **if provided for in the Specific Programme decision or in the respective work programme**
- **if provision for funding is provided for in a bilateral S&T agreement between the European Union and that country**



International S&T cooperation in FP7 ***FP7 Specific Programme „PEOPLE“***

Marie Curie Actions

- Mobility, Career development for young and experienced researchers
- Interaction of academia and industry

Activity lines:

- Initial training of researchers: training networks, young researchers, chairs, training courses
- Life long training and career development: individual trans-national intra-European training, co-funding to training & mobility programmes
- Industry-Academia partnership and pathways
- International dimension



FP7 Specific Programme „PEOPLE“

Participation opportunities for ICPC researchers

Multi-site Initial Training Networks (ITN):

- Third country organisations can participate once minimum requirement fulfilled (three participants from EU- or Assoc. countries)
- Third country researchers can apply for an appointment in a running ITN
- Third country researchers can be recruited as Visiting Scientists in a running ITN

Industry-Academia Partnerships and Pathways (IAPP):

- Third country organisations can participate once minimum requirement fulfilled (two participants from EU- or assoc. countries)
- Third country researchers can participate in the staff secondment if they have been employed in the organisation for at least one year
- Third country researchers can be recruited as experienced external researchers



FP7 Specific Programme „PEOPLE“ *Participation opportunities for ICPC researchers*

Intra-European Fellowships for Career Development (IEF):

- Third country researchers can participate if they have resided in a EU- or Associated country for at least 3 out of the last 4 years (at the proposal submission deadline)

International Outgoing Fellowships for Career Development (IOF):

- The partner organisation (**Outgoing Host**) **must be located in a third country** (except those with which FP7 currently has no cooperation)!
- Researchers from Third countries are not eligible!!!

International Incoming Fellowships:

- Researchers **must be nationals of a third country** and must not have resided in a EU- or Associated country for more than 3 in the last 4 years (at the proposal submission deadline)



FP7 Specific Programme „PEOPLE“ *Participation opportunities for ICPC researchers*

European Reintegration Grants (ERG):

- Third country researchers can participate if they have resided in a EU- or Associated country for at least three out of the last four years (at the relevant cut-off date)

International Reintegration Grants (IRG):

- Researchers from Third countries are not eligible!!!

International Cooperation between Institutions:

- partnerships to support exchange of researchers (joint EU+ / neighbouring countries or EU+ / S&T agreement countries)
- **Participation of Third countries mandatory?** – no details known



FP7 Specific Programme „PEOPLE“

Funding opportunities for ICPC researchers

- International Cooperation Partner Countries (ICPC) can be funded.
- **Funding of Other Third Countries (OTC) only if a bilateral scientific and technological agreement or any other arrangement**



International S&T cooperation in FP7 *FP7 Specific Programme „IDEAS“*

Objectives:

to enhance the dynamism, creativity and excellence of European research at the frontier of knowledge; **Sole** evaluation criterion: **EXCELLENCE**

Activities:

investigator-driven“ frontier research projects across all fields of research by individual(s) teams competing on the basis of scientific excellence for funding at European level

Team:

any grouping of researchers appropriate for the conduct of the projects

Grants:

- will support projects carried out by individual teams which are headed by a single “principle investigator” of any nationality
- will be awarded to the institution (applicant legal entity) that will be engaging and hosting the principle investigator



FP7 Specific Programme „IDEAS“ *Participation opportunities for ICPC researchers*

- ERC actions are open to researchers (Principal Investigator) of any nationality who would like to set their research activity up in any European Union Member State (EU-27) as well as any Associated or Associated Candidate Country
- the **Principal Investigator can be of any age, nationality and country of residence**
- The hosting organisation must be situated in one of the European Union Member States, or one of the countries that are Associated to the Framework Programme including the countries that are candidates to become EU members
- **Participation of “third country” organisation to be justified** on basis of added scientific value & mutual benefit *(in addition to the minimum consortium: one legal entity from MS/AC)*



International S&T cooperation in FP7 ***Information sources***

EUROPA INCO Service

<http://ec.europa.eu/research/iscp/index.cfm>

CORDIS: INCO

http://cordis.europa.eu/inco/home_en.html

http://cordis.europa.eu/fp7/capacities/international-cooperation_en.html

List of International Co-operation Partner Countries (ICPC)

http://ec.europa.eu/research/iscp/pdf/icpc_countries_en.pdf

http://cordis.europa.eu/fp7/partner-countries_en.html

Countries with RTD Association and Cooperation Agreements

http://ec.europa.eu/research/iscp/pdf/st_agreement_ec_euratom_en.pdf

List of Countries associated to FP7

ftp://ftp.cordis.europa.eu/pub/fp7/docs/third_country_agreements_en.pdf



International S&T cooperation in FP7 ***Information sources***

FP7 – Seventh Framework Programme

<http://cordis.europa.eu/fp7>

FP7 Specific Programme Cooperation

<http://cordis.europa.eu/fp7/cooperation>

FP7 Specific Programme IDEAS

<http://cordis.europa.eu/fp7/ideas>

FP7 Specific Programme PEOPLE

<http://cordis.europa.eu/fp7/people>

http://ec.europa.eu/research/fp6/mariecurie-actions/indexhtm_en.html

FP7 Specific Programme Capacities

<http://cordis.europa.eu/fp7/capacities>



International S&T cooperation in FP7 ***Information sources***

The European Union in the World

<http://ec.europa.eu/comm/world>

The EU's relations with Croatia

http://ec.europa.eu/enlargement/croatia/index_en.htm

Delegation of the European Commission to Croatia

<http://www.delhrv.ec.europa.eu/en>

FP7 National Contact Points in Croatia

http://cordis.europa.eu/fetch?CALLER=FP7NCP&PASSVAR%3ATITLE=FP7+NCP&QM_EN_CC_A=CROATIA&QZ_WEBSRCH=&USR_SORT=EN_ORG_A+CHAR+ASC



International S&T cooperation in FP7 ***Information sources***

FP7 Contact Points at FFG

<http://rp7.ffg.at/kontakt>

FFG/EIP Unit for International Cooperation and Mobility

<http://rp7.ffg.at/inco>

<http://rp7.ffg.at/erc>

<http://rp7.ffg.at/menschen>

FP7 Open Calls

<http://cordis.europa.eu/fp7/dc/index.cfm><http://cordis.europa.eu/fp7/dc/index.cfm>



EU RTD Cooperation Austria – Croatia FP6 Results*

Programme	Total				Austria (AT)		Croatia (HR)	
	evaluated Proposals	positively evaluated Proposals	Success Rate	Rate (FP6 Programme)/(FP6 Total)	positively evaluated Proposals	Rate (AT FP6 Prog.)/(FP6 Prog.)	positively evaluated Proposals	Rate (HR FP6 Prog.)/(FP6 Prog.)
Integrating and Strengthening the European Research Area								
1. Focusing and Integrating Community Research								
1.1 Thematic Priorities								
1.1.1. Life sciences, genomics and biotechnology for health (LIFISCIHEALTH)	2.352	579	25%	6,8%	113	19,5%	9	1,6%
1.1.2. Information society technologies (IST)	6.640	1.082	16%	12,6%	260	24,0%	16	1,5%
1.1.3. Nanotechnologies and nanosciences (NMP)	2.302	345	15%	4,0%	75	21,7%	1	0,3%
1.1.3.a. Joint IST and NMP Call	517	91	18%	1,1%	18	19,8%	1	1,1%
1.1.4.a. Aeronautics (AERO)	363	115	32%	1,3%	16	13,9%		0,0%
1.1.4.b. Space (SPACE)	142	31	22%	0,4%	8	25,8%	1	3,2%
1.1.5. Food quality and safety (FOOD)	1.102	181	16%	2,1%	40	22,1%	10	5,5%
1.1.6. Sustainable development, global change and ecosystems								
1.1.6.a. Sustainable energy systems (ENERGY)	1.143	255	22%	3,0%	68	26,7%	4	1,6%
1.1.6.b. Sustainable surface transport (TRANSPORT)	673	204	30%	2,4%	67	32,8%	5	2,5%
1.1.6.c. Global change and ecosystems (GLOBAL)	1.062	192	18%	2,2%	60	31,3%	5	2,6%
Hydrogen - Joint Calls (AERO/ENERGY/TRANSPORT)	14	7	50%	0,1%	3	42,9%		0,0%
1.1.7. Citizens and governance in a knowledge-based society (CITIZENS)	838	140	17%	1,6%	53	37,9%	9	6,4%
1.2. Specific activities covering a wider field of research								
1.2.1. Policy support and anticipating scientific and technological needs (SSP/NEST)								
1.2.1.a. Research for policy support (SSP)	640	242	38%	2,8%	39	16,1%	6	2,5%
1.2.1.b. New and Emerging Science and Technology (NEST)	1.528	158	10%	1,8%	15	9,5%	1	0,6%
1.2.2. Horizontal Research activities involving SMEs (SME)	3.880	476	12%	5,6%	84	17,6%	1	0,2%
1.2.3. Specific International Scientific Cooperation Activities (INCO)	1.719	290	17%	3,4%	33	11,4%	24	8,3%
1.3. Non-nuclear activities of the Joint Research Centre (JRC)								
2. Structuring the European Research Area								
2.1. Research and innovation (INNOV)	525	186	35%	2,2%	26	14,0%	3	1,6%
2.2. Marie Curie Actions - Human Resources and Mobility	18.226	3.387	19%	39,5%	146	4,3%	15	0,4%
2.3. Research Infrastructures (INFRA)	498	146	29%	1,7%	23	15,8%	9	6,2%
2.4. Science and Society (SCS)	922	150	16%	1,7%	26	17,3%	2	1,3%
3. Strengthening the foundations of the European Research Area								
3.1. Support for the coordination of activities (ERANET)	208	101	49%	1,2%	42	41,6%	2	2,0%
3.2. Support for the coherent development of policies (CDRP)	133	28	21%	0,3%	6	21,4%	1	3,6%
ACC/SSA Calls	483	56	12%	0,7%	4	7,1%		0,0%
Sixth Framework Programme: Total	45.910	8.442	18,4%	98,4%	1.225	14,5%	125	1,5%
Euratom Framework Programme (EURATOM)								
1. Priority thematic areas of research	216	133	62%	1,6%	14	10,5%		0,0%
Sixth Framework Programme incl. EURATOM (FP6): Total	46.126	8.575	18,6%	100%	1.239	14,4%	125	1,5%



EU RTD Cooperation Austria – Croatia FP6 Results*

Programme	Projects with Partner Organisations from Austria AND Croatia	Austrian Participation in Projects with Austria AND Croatia	Croatian Participation in Projects with Austria AND Croatia
	positively evaluated Projects	positively evaluated Participations	positively evaluated Participations
Integrating and Strengthening the European Research Area			
1. Focusing and Integrating Community Research			
1.1 Thematic Priorities			
1.1.1. Life sciences, genomics and biotechnology for health (LIFISCIHEALTH)	5	7	5
1.1.2. Information society technologies (IST)	10	16	12
1.1.3. Nanotechnologies and nanosciences (NMP)			
1.1.3.a. Joint IST and NMP Call	1	1	1
1.1.4.a. Aeronautics (AERO)			
1.1.4.b. Space (SPACE)	1	2	2
1.1.5. Food quality and safety (FOOD)	3	4	6
1.1.6. Sustainable development, global change and ecosystems			
1.1.6.a. Sustainable energy systems (ENERGY)	1	1	1
1.1.6.b. Sustainable surface transport (TRANSPORT)			
1.1.6.c. Global change and ecosystems (GLOBAL)	2	3	2
Hydrogen - Joint Calls (AERO/ENERGY/TRANSPORT)			
1.1.7. Citizens and governance in a knowledge-based society (CITIZENS)	7	12	7
1.2. Specific activities covering a wider field of research			
1.2.1 Policy support and anticipating scientific and technological needs (SSP/NEST)			
1.2.1.a. Research for policy support (SSP)			
1.2.1.b. New and Emerging Science and Technology (NEST)			
1.2.2. Horizontal Research activities involving SMEs (SME)			
1.2.3. Specific International Scientific Cooperation Activities (INGO)	4	4	5
1.3. Non-nuclear activities of the Joint Research Centre (JRC)			
2. Structuring the European Research Area			
2.1. Research and innovation (INNOV)	1	1	1
2.2. Marie Curie Actions - Human Resources and Mobility	3	3	3
2.3. Research Infrastructures (INFRA)	4	6	5
2.4. Science and Society (SCS)	1	2	1
3. Strengthening the foundations of the European Research Area			
3.1. Support for the coordination of activities (ERANET)	1	2	1
3.2. Support for the coherent development of policies (CDRP)			
ACC/SSA Calls			
Sixth Framework Programme: Total	44	64	52
Euratom Framework Programme (EURATOM)			
1. Priority thematic areas of research			
Sixth Framework Programme incl. EURATOM (FP6): Total	44	64	52

* PROVISIO

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